



**Lancashire  
Constabulary**  
police and communities together

## **JOINT AUDIT AND ETHICS COMMITTEE**

**MONDAY 12 DECEMBER 2016 AT 1:30PM  
CABINET ROOM C, COUNTY HALL, PRESTON**

### **MINUTES**

#### **PRESENT**

Mrs Karol Sanderson (Chair)

Mr David Jones

Mr Stephen Dobson

Mr Russell Weaver

#### **IN ATTENDANCE**

C Grunshaw	Police and Crime Commissioner for Lancashire
I Cosh	Director of Resources, Lancashire Constabulary
I Mills	Corporate Development Chief Inspector
S Freeman	Chief Finance Officer, OPCC
W Kearns	Preston City Council (Deputy Monitoring Officer)
G Winstanley	Grant Thornton
R Baker	Grant Thornton
J Taylor	Audit Manager
C Bartlett	Business Apprentice, OPCC

In advance of the agenda the Police and Crime Commissioner provided a briefing to the Committee on the Police and Crime Plan 2016-2021. Mr Grunshaw presented his video based on the plan which shows direction and strategy for policing across Lancashire.

Mr Grunshaw discussed the challenges that were to be faced by the Constabulary such as the recruitment of a new Chief Constable, cuts in funding to Public Sector partners and the potential impact of Police funding formula changes. He expressed how the work undertaken by the police has changed dramatically over recent years and the current emphasis that is being placed upon Early Action and Crime Prevention.

K Sanderson added that the challenges and issues should be dealt with expeditiously and outlined that partners are under great demand as well.

**1. APOLOGIES FOR ABSENCE**

C Portman, A Harrison, R Lowry.

**2. CONSTITUTIONAL MATTERS**

None

**3. DISCLOSURE OF MEMBERS' INTERESTS**

None

**4. MINUTES OF THE MEETING HELD ON 15 AUGUST 2016**

**RESOLVED:** - That the Minutes of the meeting held on 15 August 2016 be confirmed and signed by the Chair.

**5. MATTERS ARISING**

The Committee noted that the HMIC report on crime recording was discussed at the last meeting. Training for members of the committee on crime recording and misconduct hearings is to be provided for members.

**ACTION:** - I Cosh and I Mills to arrange for S Mackenzie to arrange training for members.

The Committee noted that the Constabulary had agreed to provide minutes from the internal ethics board to the Committee but these were not included with the agenda. Mr Cosh and Mr Mills confirmed that the minutes from this Committee would be provided.

**ACTION:** - I Mills to provide the minutes from the internal ethics board to the Audit and Ethics Committee meeting in March.

**6. EXTERNAL AUDIT – UPDATE REPORT**

Gareth Winstanley (Grant Thornton LLP, Manager) presented the External Audit report highlighting pages 3 and 4 with the progression to date plans.

**RESOLVED:** Committee noted the report and recorded the thanks of the Committee to Grant Thornton.

**7. EXTERNAL AUDIT – JOINT ANNUAL AUDIT LETTER 2015/16**

Robin Baker (Grant Thornton) presented the External Audit – Joint Annual Audit letter 2015/16 to the members. They have completed the audits of 15/16 and accounts value for money, as well as the certificate of completions for the PCC and CC.

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**RESOLVED:** Committee noted the report

## **8. INTERNAL AUDIT – MONITORING REPORT**

Julie Taylor (Audit Manager) presented the Internal Audit Monitoring Report to the Committee.

There were no issues or areas of concern in relation to this report. Use of the contingency provision in the plan to be discussed with I Cosh and S Freeman.

**RESOLVED:** - The committee noted the report.

## **9. HMIC INSPECTION REPORT**

Ian Mills (Corporate Development Chief Inspector) presented the HMIC Inspection report to the committee. There were no areas of concern however the members would like to see the custody action plan in February. It was raised that record keeping is an area of concern.

It was highlighted that paragraph six is not a restricted document and is a publicly available document. The force assessments have also been published.

**ACTION:** - I Mills to provide the Custody Action Plan to the committee

**RESOLVED:** - The Committee noted the report.

## **10. DIP SAMPLING OF COMPLAINTS**

Mr Russell Weaver presented the Dip Samplings report to the committee and provided positive feedback regarding the dip sampling of complaints. In particular it was noted that the use of tailored feedback rather than standard letters was seen as a considerable improvement to the process.

The committee expressed concern at the level of resources taken up in dealing with repetitive and vexatious complainants.

**RESOLVED:** - The Committee noted the report.

## **11. DETECTIVE INSPECTOR PROMOTION PROCESS**

Karol Sanderson presented the Detective Inspectors Promotion process to the committee members. They have observed a number of interviews and provided some feedback to HR.

**RESOLVED:** The Committee noted the update.

## **12. STOP AND SEARCH**

Karol Sanderson presented the Stop and Search report to the committee. The members of the Committee were very satisfied with the quality of the force and the professionalism of officers.

**RESOLVED:** - The Committee noted the report and provided positive comments in relation.

### **13. APPOINTMENT OF EXTERNAL AUDITORS**

Steve Freeman (Chief Finance Officer, OPCC) presented the report on the Appointment of External Auditors to the committee.

**RESOLVED:** The committee noted the report

**14. URGENT BUSINESS** – none.

**15. OUTWARD COMMUNICATION** – none.

### **16. DATE OF NEXT MEETING**

The next meeting of the committee is scheduled to be held on Monday 6<sup>th</sup> March 2017 in Cabinet Room C, County Hall, Preston, at 1:30pm.

## **PART II – PRIVATE AND CONFIDENTIAL**

**The following matters will be discussed in private as it involves the likely disclosure of exempt information as defined in the Freedom of Information Act 2000.**

### **17. CONSTABULARY RISK REGISTER**

**RESOLVED:** - The Constabulary risk register was reported.

### **18. OPCC RISK REGISTER**

**RESOLVED:** - The OPCC risk register was reported.

**A Harrison  
Director**

Signed:

Date:

C Portman, Chairman Joint Audit and Ethics Committee