

Appendix A

Item 16

| Joint Audit and Ethics Committee – Workplan 2016/17 | | |
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| Proposed meeting date for report | Area of work | |
| Standing items | External Audit – update report | |
| | Internal Audit – monitoring report | |
| | Inspection reports - update | |
| | Complaints performance report | |
| | Dip sampling of Police complaints | Report from Karol Sanderson |
| | Ethics update report | |
| | Stop and Search – update report | To be included within the Ethics update report - Until re-instated to BUSS |
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| June 2016 | Joint Audit and Ethics committee – annual report | Report from Chair |
| | Internal Audit charter | |
| | Internal Audit annual report | |
| | Annual work programme | |
| | Firearms licencing update | As requested by committee in meeting on 7 March 2016 |
| | Grant Thornton audit committee seminar | Feedback from seminar from Russ Weaver |
| | Annual Governance Statements (PCC and CC) 2015/16 | |
| | PCC and CC Risk Registers | |
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| August 2016 | External Audit – Audit findings report | Draft Statement of Accounts |
| | Data Integrity/Crime reporting | This area is subject to a future HMIC inspection before 2020 It is proposed that two members of the committee meet with Erica Frisk (Constabulary lead) in early August to understand the issues and determine an action plan |
| | Promotion boards | A promotion board is scheduled to take place in May It is proposed that two members of the committee meet with constabulary to understand the process followed and scrutinise it for transparency and fairness |

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| | | (This is separate to any feedback provided from the committee members attendance at promotion board interviews) |
| | Business Interests | Report on policies in place in respect of business interest concerns and provide a report of any identified business interest concerns |
| | Gifts and Hospitality | Report on policies in place in respect of gifts and hospitality received and provide a report of any identified gifts and hospitality received |
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| December 2016 | External Audit – Joint annual audit letter 2015/16 | |
| | PCC and CC Risk Registers | |
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| March 2017 | External Audit – Audit plan 2016/17 | |
| | Internal Audit – Audit plan 2016/17 | |
| | Joint Audit and Ethics Committee work programme 2017/18 | |
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