



REPORT TO : STRATEGIC SCRUTINY MEETING
DATE: 15 SEPTEMBER 2016
REPORT BY: Superintendent Neil Ashton
TITLE: HMIC PEEL 2016 – Preparedness for Autumn Inspection

1. Summary

1.1 This report will outline the schedule for the HMIC PEEL Autumn Inspection that will examine the Effectiveness of the Constabulary in keeping people safe and reducing crime. It will also provide an update on progress against previous recommendations made and describe the Constabulary's state of preparedness to address the core questions.

1.2 The report will also provide a summary of preparedness for the impending Crime Data Integrity [CDI] inspection, the date of which is yet to be confirmed.

2. Decision Required

2.1 The Commissioner is requested to note the report

3. Information

3.1 The HMIC PEEL inspection regime examines police forces in three key areas;

- Efficiency
- Effectiveness
- Legitimacy

3.2 Additionally other aspects will be examined concurrently; during the Spring Inspection for example leadership was also scrutinised.

3.3 The Autumn leg of PEEL, which is scheduled for w/c 31st October 2016, will focus on Effectiveness. The headline question asked is;

“How effectively does the force keep people safe and reduce crime”

3.4 This question is underpinned by five core questions;

- How effective is the force at preventing crime, tackling anti-social behaviour and keeping people safe?
- How effective is the force at investigating crime and reducing re-offending?

- How effective is the force at protecting those who are vulnerable from harm, and supporting victims?
 - How effective is the force at tackling serious and organised crime?
 - How effective are the force's specialist capabilities?
- 3.5 The inspection itself consists of a number of elements. Firstly a data return is sent through to the HMIC Effectiveness Analysis team, this forms part of the effectiveness methodology, providing underlying data to help answer the headline questions. The HMIC also request formal policies or other written information across a range of areas, these documents are a vital part of the inspection process that allows HMIC to further develop their knowledge of our force. The data return was sent to the HMIC on 8th August 2016, however the document return is provided to the HMIC team the week before they arrive for the inspection.
- 3.6 Also requested ahead of this inspection has been a Force Self-Assessment completed under the leadership of the responsible Chief Officer. The self-assessment provides a three hundred word synopsis describing the Force's condition against the five core questions. This will be a key document and will cross-reference more detailed force policies or action plans. The assessment is not available prior to the submission of this report however will be prior to the Police and Crime Panel meeting. Upon completion the assessment can be shared and discussed with the PCC in more detail.
- 3.7 The force visit commences with a strategic briefing delivered by the Chief Officer Group that demonstrates how the priorities and strategic direction of the force addresses the questions raised during the inspection.
- 3.8 The strategic briefing is followed by a series of 1:1 meetings and focus groups with officers and staff at all levels across the organisation which probe further into the details of the core questions.
- 3.9 Finally HMIC staff carryout front-line reality testing to assess whether the policies and strategies outlined during the strategic briefing translate into day to day operational delivery.
- 3.10 In addition to the core themes of the Effectiveness pillar a review into stalking and harassment will be conducted. The HMIC lead will attend Lancashire on Tuesday 1st November and interview the force lead for this area of business and assess arrangements in force. Mr O'Malley will then carryout reality testing with front line staff and view force records on stalking and harassment cases, with a focus on cases where a Police Information Notice (PIN) has been issued. If forces do not issue PINs the sample will be adapted to include alternative approaches to reports.

Previous Effectiveness Inspection

- 3.11 The Constabulary was last inspected on Effectiveness on 2nd November 2015. The findings of this inspection gave the Constabulary an overall grading of 'GOOD'.

3.12 The following recommendations were made.

- The constabulary should ensure that it has oversight of those who are circulated as wanted on the police national computer, those who fail to appear on police bail, and named suspects identified through forensic evidence, to ensure a swift arrest.
- The constabulary should continue to enhance its serious and organised crime local profile even further with partner data, and continue to work with partner agencies in maintaining a joint oversight for tackling serious and organised crime.

3.13 In response to these recommendations the force has taken the following steps;

Wanted Missing Circulations

3.14 A comprehensive process has been developed in conjunction with PNC HQ at Hendon to ensure Lancashire's wanted persons are loaded and circulated to PNC promptly and regularly reconciled against outstanding warrant to ensure accuracy.

3.15 BCU DCIs will have responsibility for those circulated as wanted originating from their respective areas and ensuring their whereabouts is being proactively sought. The Force Intelligence Bureau will support BCUs in this and periodically review and research long-term outstanding wanted persons using a number of intelligence sources, including PND.

Forensic Hits

3.16 In response to this recommendation SSD have carried out an internal re-structure that sees the disestablishment of a vacant post and re-locating of forensic researchers to BCUs. This move will provide an effective link between forensic hits and BCU resources and allow greater monitoring of case progress and completion. We are confident this move will significantly increase the timeliness and positive outcomes achieved from forensic identifications.

Local Profiles

3.17 The initial version of Local Profiles were developed through engagement with most local partners, the process included workshops and stakeholder visits to ensure as rich a picture as possible was obtained. The Local Profiles include partner data / intelligence and are used to prioritise local SOC issues.

3.18 There are four profiles:

- 1 Pan-Lancashire that feeds in to the county-wide strategic assessment process and the community safety agreement, both of which have been presented at the Lancashire Chief Executive's meeting.
and
- 3 local profiles based on our BCU footprints. These have also been shared with all CSP groups and are a key factor in driving activity and prioritisation of resources.

- 3.19 The ground breaking work of Op Genga continues with the development of three virtual Genga enforcement hubs established for delivery in each BCU under the PURSUE element of the SOC strategy, each with a dedicated co-ordinator and partnership alignment. The hubs are being funded through transformation challenge award funding and PIF match funding.
- 3.20 To enable closer working relationships between partner agencies a trusted, secure and web based management system called PAM has been procured. Police and partner agencies are able to upload intelligence / profiles, and / or nominals of concern to share intelligence and allocate actions to enable a multi-agency led approach across the SOC strategy. An updated Genga Information Sharing Agreement is in existence for all partners that covers the use of PAM. Approximately 150 licences across 38 partner agencies have been assigned, this will increase capacity, capability and opportunities for disruption across a number of serious organised crime issues.
- 3.21 Local profiles have been shared across each of the 38 partner agencies and action plans are being developed that will assist and drive local activity and help identify disruption opportunities, PAM will be critical to the success of this approach.
- 3.22 Whilst the devolution of Genga to BCU level has proved to be very successful in terms of translating strategy into activity the benefits of maintaining strong links with the regional GAIN are clear and Lancashire remains a key contributor to this programme.
- 3.23 Also falling under the auspices of the PEEL Effectiveness inspection was a Crime File Review that was carried out from 27th June – 1st July 2016. This consisted of a sample of crime occurrences in specific categories that were reported by telephone to force contact centres, by the victim or third parties on their behalf, between 1st September 2015 and 31st March 2016. The force was notified of 60 cases that were subject of the review in order that the necessary files could be available for the inspection team; relevant initial calls were also reviewed. On the 19th July 2016, HMIC forwarded their auditor log which provided a brief summary of the review findings. This is currently being assessed for factual accuracy.
- 3.24 During the 2015 Legitimacy inspection Lancashire was found to be one of thirteen forces that were not fully compliant the Best Use of Stop and Search Scheme (BUSS). A recent re-assessment found that Lancashire is now compliant with all aspects of the scheme. The factual accuracy review has been completed and returned to HMIC. Publication of the re-inspection report is expected in September via the HMIC website. It is expected the Home Secretary will approve our introduction on to the scheme.
- 3.25 Between 31st May and 10th June 2016, an unannounced inspection in to Police Custody suites in Lancashire led by HM Inspector of Prisons occurred. The interim report contained a number of factual inaccuracies and has been returned to HMIC for further consideration, the date of publication is not yet known.
- 3.26 The Crime Data Integrity inspection carried out in 2014 identified significant shortfalls in the crime-recording accuracy nationally. In November 2015, Sir Thomas Winsor wrote to Forces and OPCCs setting out his intention to re-inspect

CDI in all forces. These inspections will take place without notice; the force will be first informed of their inspection by way of a telephone call to a member of the Chief Officer Team. That same day, the audit lead for the inspection will visit the force and meet with the Force Crime Registrar or in their absence a member of their team, and explain what is required from the force. There is no indication when this inspection may occur.

3.27 In order to prepare and ensure Lancashire is in a state of readiness an internal audit of CDI is taking place overseen by ACC Bates. Any deficiencies identified are brought to the attention of BCUs to ensure practices and knowledge gaps can be rectified. Broader systematic issues will be addressed technically or through training as required.

4. Implications

4.1 There are no implications

5. Links to Police & Crime Plan

5.1 This report links clearly underpins the legitimacy of the constabulary and the role of the PCC in holding the Chief Constable to account for the performance of the Constabulary.

6. Reasons why Restricted

N/A

7. Background Documents

None

8. Contact for Further Information

Chief Inspector, Corporate Development Tel 413927